

## A note on the chromatic convergence theorem

Katsumi SHIMOMURA\*

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### §1. Introduction

Let  $\mathcal{C} = \mathcal{C}_{(p)}$  denote the homotopy category of  $p$ -local spectra for a prime number  $p$  and  $E(n)$ , the Johnson-Wilson spectrum whose coefficient ring is  $E(n)_* = \mathbf{Z}_{(p)}[v_1, \dots, v_n, v_n^{-1}]$  for each  $n$ . Then we have the Bousfield localization functor  $L_n: \mathcal{C} \rightarrow \mathcal{C}$  with respect to  $E(n)_*$ . Since  $L_n L_m = L_m$  if  $m \leq n$ , the natural transformation  $\eta_m: id \rightarrow L_m$  yields another one  $L_n \rightarrow L_m$ . In particular, we have the sequence

$$L_0 \longleftarrow L_1 \longleftarrow \cdots \longleftarrow L_n \longleftarrow L_{n+1} \longleftarrow \cdots \longleftarrow id,$$

which is called the *chromatic tower*. Thus we can consider the homotopy inverse limit  $\varprojlim L_n X$  on a spectrum  $X$ . Then Mike Hopkins and Doug Ravenel show the following chromatic convergence theorem:

**THEOREM** ([8, Th. 7.5.7]). *For a  $p$ -local finite CW-complex  $X$ , the chromatic tower converges in the sense that*

$$X \simeq \varprojlim L_n X.$$

In this paper, we will prove this by another method using results of [2].

### §2. The Adams-Novikov spectral sequence

Let  $E$  be a ring spectrum. Then we have the cofiber sequence

$$S^0 \xrightarrow{\iota} E \longrightarrow \bar{E} \xrightarrow{k} S^1$$

for the unit map  $\iota$ . Applying  $-\wedge \bar{E}^s$  to it, we have another cofiber sequence

$$\bar{E}^s \xrightarrow{\iota} E \wedge \bar{E}^s \longrightarrow \bar{E}^{s+1} \xrightarrow{k} \Sigma^1 \bar{E}^s.$$

This gives rise to the exact couple

$$\pi_*(\bar{E}^s \wedge X) \xrightarrow{\iota_*} E_*(\bar{E}^s \wedge X) \longrightarrow \pi_*(\bar{E}^{s+1} \wedge X) \xrightarrow{k_*} \pi_{*-1}(\bar{E}^s \wedge X)$$

for a spectrum  $X$ . The  $E$ -Adams spectral sequence for computing the homotopy groups

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\* Faculty of Education, Tottori University, Tottori, 680, Japan

$\pi_*(X)$  is now defined to be the spectral sequence associated to the above exact couple.

Note that the pair  $(E_*, E_*(E))$  is the Hopf algebroid with the canonical structure maps. Besides, if  $E_*(E)$  is flat over  $E_*$ , the category of  $E_*(E)$ -comodules has enough injectives. So we can do homological algebra in the category. Let  $F$  be an  $E$ -module spectrum with structure map  $\nu: E \wedge F \rightarrow F$ . Then we can define the map  $\kappa: [X, F]_* \rightarrow \text{Hom}_{E_*}(E_*(X), F_*)$  by  $\kappa(x) = \nu_*(E \wedge x)_*$ . Furthermore, suppose that  $E_*(E)$  is flat over  $E_*$  and  $\kappa$  induces an isomorphism

$$\kappa: [Y, F]_* \cong \text{Hom}_{E_*}(E_*(Y), F_*)$$

for a spectrum  $Y$  with  $E_*(Y)$  is free over  $E_*$  and an  $E_*$ -module spectrum  $F$ . Then the  $E_2$ -term of the  $E$ -Adams spectral sequence is given by

$$E_2^{s,t}(X) = \text{Ext}_{E_*(E)}(E_*, E_*(X)),$$

since the category of  $E_*(E)$ -comodules has enough injectives, and we have the change of rings theorem

$$\text{Hom}_{E_*}(E_*(Y), F_*) \cong \text{Hom}_{E_*(E)}(E_*(Y), E_*(F)).$$

As examples, we have the Brown-Peterson spectrum  $BP$  and the Johnson-Wilson spectrum  $E(n)$ . These spectra represent the homology theories  $BP_*(-)$  and  $E(n)_*(-)$  with coefficient rings

$$BP_* = \mathbf{Z}_{(p)}[v_1, v_2, \dots] \text{ and } E(n)_* = \mathbf{Z}_{(p)}[v_1, \dots, v_n, v_n^{-1}].$$

Here  $v_n$  is the Hazewinkel generators with  $|v_n| = 2(p^n - 1)$ . We call these spectral sequences the *Adams-Novikov spectral sequences*.

In this section we recall [2] its results. Following [2], we use the notation:

$$(A, \Gamma) = (BP_*, BP_*(BP)) \text{ and} \\ (A(n), \Gamma(n)) = (E(n)_*, (E(n)_*(E(n))),$$

in which  $E(n)_*(E(n)) = E(n)_* \otimes_A \Gamma \otimes_A E(n)_*$ .

Let  $(B, \Sigma)$  denote one of the Hopf algebroids  $\Gamma$  and  $\Gamma(n)$ 's. Consider an ideal  $I_n$  generated by  $p, v_1, \dots, v_{n-1}$ . Then it is an invariant prime ideal. Now we define  $\Sigma$ -comodules  $B_i^j$  and  $LB_i^j$  inductively out of  $B$ . First put  $B_i^0 = B/I_i$ . Then it is a  $\Sigma$ -comodule with structure map induced from the right unit  $\eta_R: B \rightarrow \Sigma$ . We denote it also by  $\eta_R$ . Now suppose that  $B_i^j$  is defined and put  $LB_i^j = v_{i+j}^{-1} B_i^j$ . Then the canonical inclusion  $B_i^j \rightarrow LB_i^j$  is a map of comodules (see [5]) and induces its cokernel  $B_i^{j+1}$  a comodule structure since  $\Sigma$  is flat over  $B$ . Thus we have completed the induction and  $B_i^j$ 's are defined.

**THEOREM 2.1.** *For  $i+j \leq n$ , we denote  $t = n - i - j$ . Then there exists an exact sequence*

$$\begin{aligned} \cdots \xrightarrow{\eta} \text{Ext}_R^s(A, A_i^j) &\longrightarrow \text{Ext}_{R(n)}^s(A(n), A(n)_i^j) \longrightarrow \text{Ext}_R^{s-t}(A, A_i^{n-i+1}) \xrightarrow{\eta} \\ &\text{Ext}_R^{s+1}(A, A_i^j) \longrightarrow \cdots, \end{aligned}$$

in  $\text{Ext}_R^{s-t} = 0$  for  $s - t < 0$ .

To prove this we also showed in [2] the following

**THEOREM 2.2.** *Let  $I_n$  denote the invariant prime ideal of  $A = BP_*$ .*

1) ([6]) *Let  $s$  and  $j$  be positive integers such that  $s > n^2$  and  $j < n$ . Then we have an isomorphism*

$$\text{Ext}_R^s(A, A_j^{n-j+1}) \cong \text{Ext}_R^{s+n-j+1}(A, A_j^0).$$

2) *If  $0 \leq s \leq n - j$  and  $0 \leq j \leq n$ , then*

$$\text{Ext}_R^s(A, A/I_j) \cong \text{Ext}_{R(n)}^s(A(n), A(n)/I_j).$$

3) ([5, Th. 2.10])  $\text{Ext}_R^s(A, v_n^{-1}A/I_n) \cong \text{Ext}_{R(n)}^s(A(n), A(n) \otimes_A v_n^{-1}A/I_n)$ .

### §3. Thick subcategory

In this section we will give another proof of the theorem by using the results given in [2]. Recall [3] first the definition of a thick subcategory. Let  $\mathcal{FH}_{(p)}$  denote the category of  $p$ -local finite spectra and homotopy classes of maps. The subcategory  $\mathcal{C}$  of  $\mathcal{FH}_{(p)}$  is said to be *thick* if it satisfies the following:

(i) If two of three spectra in a cofiber sequence

$$X \xrightarrow{f} Y \longrightarrow C_f$$

are in  $\mathcal{C}$ , then so is the third; and

(ii) A retract of a spectrum in  $\mathcal{C}$  is in  $\mathcal{C}$ .

Consider the Morava  $K$ -theory  $K(n)$ , which is characterized by its homotopy groups  $\pi_*(K(n)) = \mathbf{Z}/p[v_n, v_n^{-1}]$ . Denote  $\mathcal{F}_{p,n}$  the full subcategory of  $\mathcal{FH}_{(p)}$  consisting of the spectra  $X$  with  $K(n)_*(X) \neq 0$  and  $K(n-1)_*(X) = 0$ . Then we have the thick subcategory theorem:

**THEOREM 3.1** (Hopkins). *Let  $\mathcal{F}$  be a thick subcategory of  $\mathcal{FH}_{(p)}$ . Then  $\mathcal{F}$  is either all of  $\mathcal{FH}_{(p)}$ , the trivial category or  $\mathcal{F}_{p,n}$  for some  $n$ .*

**THEOREM 3.2.** *Let  $\mathcal{F} = \{X \in \mathcal{FH}_{(p)} \mid X = \varprojlim L_n X\}$ . Then  $\mathcal{F}$  is a thick subcategory.*

PROOF. By the definition of the product of spectra, we see that products preserve cofiber sequences. Therefore the homotopy inverse limit preserves cofiber sequences. Thus the five lemma shows the condition (i).

Let  $i: A \rightarrow X$  be an inclusion and  $r: X \rightarrow A$  a retraction. Then  $ri = 1$ . Since both of  $\lim_{\leftarrow}$  and  $L_n$  are functors, we have maps

$$\lim_{\leftarrow} L_n(i) : \lim_{\leftarrow} L_n(A) \longrightarrow \lim_{\leftarrow} L_n(X) \quad \text{and} \quad \lim_{\leftarrow} L_n(r) : \lim_{\leftarrow} L_n(X) \longrightarrow \lim_{\leftarrow} L_n(A)$$

such that  $\lim_{\leftarrow} L_n(r) \lim_{\leftarrow} L_n(i) = 1$ . Consider the diagram

$$\begin{array}{ccc} X & \xrightarrow{r} & A \\ & \searrow r' & \downarrow j \uparrow ri' \\ & & \lim_{\leftarrow} L_n A, \end{array}$$

in which  $i' = \lim_{\leftarrow} L_n(i)$  and  $r' = \lim_{\leftarrow} L_n(r)$ , and  $i'j = i$  and  $jr = r'$ . Therefore we compute

$$ri'j = ri = 1 \quad \text{and} \quad jri' = r'i' = 1,$$

and so we have the homotopy equivalent

$$A = \lim_{\leftarrow} L_n A,$$

which shows  $A \in \mathcal{F}$ .

q.e.d.

#### §4. The proof of the chromatic convergence theorem

By virtue of the thick subcategory theorem, it is sufficient to show that some spectrum of  $\mathcal{F}_{p,n}$  satisfies  $X = \lim_{\leftarrow} L_n X$ . Consider an invariant regular sequence of the form:

$$J_j : p, v_1^{e_j}, \dots, v_{j-1}^{e_{j-1}}.$$

Then Theorem 2.2 2) yields inductively an isomorphism

$$(4.1) \quad \lambda_* : \text{Ext}_r^s(A, A/J_j) \cong \text{Ext}_{r(n)}^s(A(n), A(n)/J_j),$$

for  $0 \leq s \leq n - j$  and  $0 \leq j \leq n$ . It is known by [4] (see also [7]) that there exists a spectrum  $XJ_j$  whose  $BP_*$ -homology is  $BP_*/J_j$  for each  $j$ , and  $XJ_j$  belongs to  $\mathcal{F}_{p,j}$ .

LEMMA 4.2. *Let  $x$  and  $y$  be elements of the  $E_2$ -terms  $\text{Ext}_r^s(A, A/J_j)$  and  $\text{Ext}_{r(n)}^s(A(n)/J_j)$  of the Adams-Novikov spectral sequences for  $\pi_*(XJ_j)$  and  $\pi_*(L_n XJ_j)$ , respectively, such that  $\lambda(x) = y$ . Further suppose that*

$$|x| \leq v(n - j).$$

Then if  $y$  is a permanent cycle, then so is  $x$ . Here  $v(s)$  is an integer  $p(p - 1)s$  if  $s$  is even, and  $p(p - 1)(s - 1) + 2(p - 1)$  if  $s$  is odd.

PROOF. Let  $d_r : E_r^{s,t} \rightarrow E_r^{s+r,t+r-1}$  be the differential of the Adams-Novikov spectral sequence. Then we deduce, from the hypothesis on  $y$ , that  $d_r(x) = 0$  for  $r \leq n - j - s$  inductively by the isomorphism (4.1) and the naturality of the differential. Furthermore,  $\text{Ext}_r^{u,t}(A, A/J_j) = 0$  if  $t < v(u)$  by the vanishing line theorem of the spectral sequence. Therefore  $d_r(x) = 0$  for  $r > n - j - s$ . q.e.d.

Now we are ready to prove the chromatic convergence theorem.

PROOF OF THE THEOREM. Putting  $n$  to infinity, the isomorphisms (4.1) give rise to an isomorphism of the  $E_2$ -terms

$$\lambda_* : \text{Ext}_r^s(A, A/J_j) \cong \varprojlim \text{Ext}_r^s(A(n), A(n)/J_j),$$

which shows the desired isomorphism of the homotopy groups

$$\lambda_* : \pi_*(XJ_j) \cong \varprojlim \pi_*(L_n XJ_j)$$

by the naturality of the differentials of the Adams-Novikov spectral sequence. Here  $\Gamma(n)$  and  $A(n)$  denote  $E(n)_*(E(n))$  and  $E(n)_{*,*}$ , respectively. In fact, suppose that  $\lambda_*(\xi) = 0$  in  $\pi_*(L_n XJ_j)$  and  $\xi$  is detected by  $x \in \text{Ext}_r^s(A, A/J_j)$ . Then  $\lambda_*(x)$  dies or is killed. If it dies,

$$d_r(\lambda_*(x)) = \lambda_*(d_r(x)) \neq 0,$$

and so  $d_r(x) \neq 0$ , which shows that  $x$  dies. If it is killed, then there exists an element  $y$  such that  $d_r(y) = \lambda_*(x)$ . Since  $\lambda_*$  is an epimorphism, we have an element  $w$  such that  $\lambda_*(w) = y$ . Therefore the naturality shows that  $d_r(y) = x$  and  $x$  is killed. Thus we have seen that  $\lambda_* : \pi_*(XJ_j) \rightarrow \varprojlim \pi_*(L_n XJ_j)$  is a monomorphism.

Now turn to show that  $\lambda_*$  is an epimorphism. Let  $l_k : \varprojlim \pi_*(L_n XJ_j) \rightarrow \pi_*(L_k XJ_j)$  be the projection. For any element  $x \in \varprojlim \pi_*(L_n XJ_j)$ , there is an integer  $k$  such that  $l_k(x) \neq 0$ . Put  $\text{filt } l_k(x) = m$ , and take  $n$  so that  $n > m + j + 1$  and  $|x| \leq v(n)$ . Then  $l_n(x)$  is represented by a permanent cycle  $x_n$  and there exists an element  $y$  in  $\text{Ext}_r^m(A, A/J_j)$  such that  $\lambda_*(y) = x_n$  by the isomorphism (4.1). Now apply Lemma 4.2 to see that  $y$  is a permanent cycle. The composition  $\pi_*(XJ_j) \rightarrow \pi_*(L_n XJ_j) \rightarrow \pi_*(\varprojlim L_n XJ_j)$  sends  $y$  to  $x$  by definition, which shows the map  $\lambda_*$  is epic. q.e.d.

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