Construction of Artificial Viral Capsids Encapsulating Short DNAs via Disulfide Bonds and Controlled Release of DNAs by Reduction

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1 To construct an artificial viral capsid encapsulated 2 short single-stranded DNA, a β -annulus peptide conjugated 3 with ssDNA through a disulfide bond at the N-terminus 4 (DNA-SS- β -Annulus) was synthesized. The DNA-SS- β -5 Annulus conjugate self-assembled into spherical structures 6 ranging in the size of 36–60 nm. ssDNA was released from 7 the capsids via the reduction of disulfide bonds.

8 Keywords: Artificial viral capsid, Nanocarrier, DNA,

9 Disulfide bond

10 Spherical viral capsids are natural supramolecular nanocapsules with icosahedral symmetry, which are formed 11 via self-assembly from capsid proteins. They have attracted 12 significant attention as scaffolding materials, which can act 13 as drug carriers, vaccine platforms, and nanoreactors 14 15 because of their specific properties, such as discrete 16 nanospace, good cell-transfection ability, and biodegradability.¹ Particularly, the encapsulation of nucleic 17 18 acids in the discrete nanospace of spherical viral capsids has 19 made the improved resistance of nucleic acids in cells against enzymatic degradation possible, which can be 20 applied as a nanocarrier for the delivery of nucleic acid 21 22 drugs.^{1c, 2}

23 In biological systems, disulfide bonds comprising 24 cysteines play an important role in the stability of the three-25 dimensional structures of proteins, and in the control of 26 certain protein functions³, which are susceptible to the redox 27 environment in the cytoplasm. The reductive cleavage of 28 disulfide bonds is utilized to release drugs in the cytoplasm 29 from nanomaterials, such as polymeric micelles.⁴ However, 30 to the best of our knowledge there are fewer reports on the 31 controlled-release of guest molecules by reductive cleavage 32 of disulfide bonds when viral capsids are used as scaffold 33 materials.5

34 We have previously demonstrated that a synthetic 24peptide fragment (INHVGGTGGA 35 β -annulus mer 36 IMAPVAVTRQLVGS), which is a structural motif of the 37 internal skeleton of the tomato bushy stunt virus, self-38 assembled into hollow nanocapsules (artificial viral capsid) ranging in the size of 30-50 nm in water.^{6, 7} The pH-39 40 dependent zeta-potentials of the artificial viral capsid 41 indicate that the C-terminus of the peptide is directed toward 42 the exterior surface, while the N-terminus is directed toward 43 the interior.⁸ By utilizing this feature, we have reported that the C-terminus modification allowed the decoration of the 44 exterior surface of the artificial viral capsid with gold 45 nanoparticles,^{9a} coiled-coil spikes,^{9b} 46 and ssDNAs.9c Moreover, we have demonstrated the encapsulation of 47

anionic dyes, a long DNA (M13 phage DNA),⁸ and the
preparation of quantum dots (CdTe)^{10a} via electrostatic
interaction in the cationic interior of the artificial viral
capsid. Furthermore, by employing the proper N-terminus
modification, fluorescent ZnO nanoparticles^{10b} and Histagged GFP^{10c} have been encapsulated in the artificial viral
capsid.

55 Here, we report the construction of an artificial viral 56 capsid encapsulating single-stranded DNA (ssDNA) via the 57 self-assembly of the β -annulus peptide modified with 58 ssDNA at the N-terminal through a disulfide bond, wherein 59 the ssDNA was directed to the interior (Figure 1). Linking 60 of β -annulus peptide with DNA via disulfide bond ensures the encapsulation of short DNAs, which has been difficult to 61 achieve so far. The controlled-release of the ssDNA from 62



63 the capsid can be achieved by reductive cleavage of64 disulfide bonds under intracellular reducing environment.65

Figure 1. Schematic illustration of the ssDNA encapsulated inan artificial viral capsid through a disulfide bond, and therelease of ssDNA by reduction using DTT.

70 71 23-mer ssDNA (TCTACAAAGGGAAGCCC 72 TTTCTG) bearing an amino group via a hexamethylene chain at the 5' end was reacted with 3-(2-pyridyldithio) 73 74 propionic acid N-hydroxysuccinimide ester (SPDP) to 75 obtain a ssDNA bearing pyridyldisulfide group at the 5' end 76 (PySS-DNA). A β -annulus peptide containing Cys at the N-77 terminus (CINHVGGTGGAIMAPVAVTROLVGS: Cys-*β*-78 Annulus) was synthesized using a standard Fmoc-protected

solid-phase method. A subsequent disulfide-exchange 1 2 reaction of PySS-DNA with the excess amount of thiol at 3 the N-terminus of the Cys- β -Annulus afforded the β -4 annulus peptide to be modified with the ssDNA via a 5 disulfide bond (DNA-SS- β -Annulus). In the reversed-phase 6 HPLC chart, the reaction mixture displayed one main peak 7 at 24.9 min, which was different from the retention time of 8 SPDP. ssDNA, and PvSS-DNA (Figure 2A). The purified 9 product was confirmed to be DNA-SS- β -Annulus using MALDI-TOF-MS (m/z = 9692 $[M]^+$) (Figure 2B). The 10 dynamic light scattering (DLS) of 50 uM DNA-SS-B-11 Annulus in sodium phosphate buffer (pH 7.1) exhibited the 12 formation of 48 ± 12 -nm assemblies (Figure 2C). The 13 14 transmission electron microscopy (TEM) image of the 15 aqueous solution of DNA-SS-*β*-Annulus also exhibited the formation of spherical assemblies of approximately 50 nm 16 in diameter (Figure 2D). The structure of the assembly of 17 18 DNA-SS- β -Annulus is comparable to that of the unmodified 19 artificial viral capsid,⁶ indicating that the modification of



20 ssDNAs at the N-terminus of the β -annulus peptide 21 minimally affect the capsid structure. 22

23 Figure 2. (A) Reversed-phase HPLC chart of (a) SPDP, (b) 24 ssDNA, and (c) PySS-DNA detected at 260 nm, eluted with a 25 linear gradient of CH₃CN / 0.1 M NH₄HCO₂ aq (0 / 100 to 100 26 / 0 over 95 min). (d) DNA-SS-β-Annulus detected at 260 nm, 27 eluted with a linear gradient of CH₃CN / 0.1 M NH₄HCO₂ aq 28 (10 / 90 to 100 / 0 over 95 min). (B) MALDI-TOF-MS of the 29 purified DNA-SS- β -Annulus (matrix: 3-HPA). (C) Size 30 distributions obtained from DLS analysis for 50 µM DNA-SS-31 β -Annulus in 10 mM sodium phosphate buffer (pH 7.1). (D) 32 TEM image of 50 μM DNA-SS-β-Annulus in 10 mM sodium 33 phosphate buffer stained with 2% phosphotungstic acid. 34

36 We have previously reported that artificial viral 37 capsids decorated with ssDNAs on the exterior surface were

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self-assembled from β -annulus peptides modified with 38 ssDNA (dA₂₀ and dT₂₀).^{9c} The ssDNA-modified peptides 39 40 formed spherical assemblies with diameters of 46-150 nm, 41 although aggregations were observed at higher 42 concentrations (>50 µM). Conversely, the concentration 43 dependence of the size distribution in DLS measurement 44 indicated that DNA-SS-B-Annulus formed assemblies with 45 sizes ranging from 30 to 50 nm at a concentration of 25–100 µM (Figure 3A). Since highly charged DNA can be 46 condensed by multivalent counterions,¹¹ it is likely that the 47 artificial viral capsid encapsulated ssDNA is stabilized by 48 49 electrostatic condensation between the ssDNA and the 50 cationic interior of the capsid.



52 **Figure 3.** Concentration dependence of size distribution (A) 53 and scattering intensity (B) obtained from DLS for the aqueous 54 solution of DNA-SS- β -Annulus in 10 mM sodium phosphate 55 buffer (pH 7.1) at 25°C. 56

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58 The concentration dependence of the scattering 59 intensity of the aqueous solution of DNA-SS- β -Annulus 60 revealed that the critical aggregation concentration (CAC) of DNA-SS-β-Annulus was 3.1 μM (Figure 3B). DLS 61 62 analysis and TEM images of DNA-SS-B-Annulus in water 63 at various pH values indicated that the peptide could stably 64 self-assemble in the pH range of 4-11, whereas the peptide 65 aggregated at pH 2 (Figure S1).

66 To confirm the controlled-release of the encapsulated 67 ssDNA by reductive cleavage of disulfide bonds, the 68 artificial viral capsid was reacted with 10 mM dithiothreitol 69 (DTT) for 2 h in 10 mM sodium phosphate buffer (pH 7.1). 70 A new peak appeared in the reversed-phase HPLC chart 71 after the reaction with DTT, and the peak derived from the 72 DNA-SS- β -Annulus disappeared (Figure 4A). The MALDI-73 TOF-MS of the reaction mixture revealed the existence of 74 Cys- β -Annulus (m/z = 2409) and ssDNA bearing a thiol 75 group (m/z =7291) (Figure 4B). DLS analysis and TEM 76 images of the reaction mixture revealed that the spherical 77 structures were disrupted (Figure 4C and 4D). The unstructured aggregates observed on TEM images (Figure 78 79 4D) were also observed in the aqueous solution of Cys- β -80 Annulus without ssDNA (Figure S2). These results indicated that the ssDNA encapsulated in the artificial viral 81 82 capsid was successfully released by reductive cleavage of 83 disulfide bonds, which was accompanied by the destruction 84 of the capsid. It is probable that the destruction of the capsid 85 might be caused by cancellation of electrostatic condensation between the ssDNA and the cationic interior 86 87 of the capsid.

1 We also attempted to release ssDNAs from artificial viral capsids using 10 mM reduced glutathione (GSH), 2 3 which is the most abundant substance in cells, that act as a 4 reducing agent in 10 mM sodium phosphate buffer (pH 7.1) 5 (Figure S3). The peak area derived from the DNA-SS- β -6 Annulus decreased to 63% after a 2-h reaction with GSH, 7 and to 11% after 18 h of reaction in the reversed-phase 8 HPLC chart (Figure S3). The MALDI-TOF-MS of the reaction mixture showed the existence of substances 9 10 produced by the disulfide-exchange reaction (Figure S3).

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Figure 4. The reduction of DNA-SS- β -Annulus ([DNA-SS- β -12 13 Annulus] = 50 μ M, [DTT] = 10 mM) in 10 mM sodium phosphate buffer (pH 7.1) at 25°C. (A) Reversed-phase HPLC 14 15 chart of DNA-SS- β -Annulus (a) before addition of DTT and (b) 16 2 h after addition of DTT detected at 260 nm, eluted with a linear gradient of CH₃CN / 0.1 M NH₄HCO₂ aq (100 / 0 to 0 / 17 100 over 70 min), (B) MALDI-TOF-MS (matrix: 3-HPA), (C) 18 19 DLS, and (D) TEM image for the solution of 50 µM DNA-SS- β -Annulus 2 h after the addition of 10 mM DTT. 20

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23 DLS analysis and TEM images of the mixture showed 24 the co-existence of intact artificial viral capsids and 25 collapsed aggregates (Figure S3). Therefore, ssDNAs may have been partially released due to incomplete reduction 26 27 using 10 mM GSH. The slower reduction rate of GSH 28 compared to that of DTT might be caused by smaller redox 29 potential of GSH (-0.24 V) than that of DTT (-0.33 V).

30 In conclusion, the results of this study demonstrated 31 that artificial viral capsid encapsulated ssDNA was self-32 assembled from β -annulus peptide modified with ssDNA at 33 the N-terminus through a disulfide bond. Further, it was observed that the reductive cleavage of the disulfide bond of 34 the artificial viral capsid by DTT or GSH caused the 35 36 controlled-release of ssDNA with the destruction of the 37 capsid. We envision that this system can be applied to drug 38 delivery systems for short chain nucleic acid drugs, such as 39 siRNA and antisense DNA. When the reduction condition is 40 properly selected, it will be possible to release DNAs

41 without the destruction of capsid. If it is possible, further 42 applications can be developed such as reversible 43 modification of thiol-modified materials in artificial viral 44 capsid.

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References and Notes 51

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Graphical Abstract	
Textual Information	
A brief abstract (required)	We synthesized a β -annulus peptide (DNA-SS- β -Annulus) modified with short single-stranded DNA via a disulfide bond at the N-terminus to construct an artificial viral capsid encapsulating ssDNAs. The DNA-SS- β -Annulus conjugate self-assembled into a spherical structure with the size of 36 - 60 nm. The viral capsids caused the release of ssDNAs via reduction of the disulfide bonds.
Title(required)	Construction of Artificial Viral Capsids Encapsulating Short DNAs via Disulfide Bonds by Self- assembly
Authors' Names(required)	Yoko Nakamura, Hiroshi Inaba, and Kazunori Matsuura
Graphical Information	
With encapsulated viral capsid (40-50 mm)	